PUBLIC RECORDS / WORKED EXAMPLE

Corebridge and Argon: evidence of closing

Transaction diligence

Prepared by Dossier Editorial | November 11, 2026

EVIDENCE SOURCES	EVIDENCE CHECKED	PUBLISHER INSTITUTIONS
5	2026-11-11	3

SECTIONS	READER QUESTIONS	REVIEW SCOPE
7	4	Public records

EVIDENCE CHECKED	2026-11-11
EDITION	1 | Public-source research
ARTICLE	dossierplus.ai/blog/corebridge-argon-share-repurchase-closing-form-4

IMPORTANT USE NOTE

Dossier Editorial prepared this educational walkthrough from public SEC filings with AI assistance. It follows the November 9 ownership case using newly filed evidence; the workflow below is a reproducible review, not a claim that a live platform investigation or legal review occurred.

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PART 01

The decision in brief

Find a later filing that explicitly reports completion, then reconcile its dates and numbers with the agreement. The Form 4 filed on November 10 says Corebridge's repurchase from Argon closed that day. It reports 3,227,700 shares sold at $34.09 and 44,234,423 shares remaining. That supports a reported closing; it does not independently document the seller's bank receipt or establish its exact post-closing ownership percentage.

Publication date: November 11, 2026. Historical event dates are identified separately. This PDF and the article use the same structured source selection and findings.

PART 02

What changed after the expected closing date?

The November 9 Form 8-K described Corebridge Financial, Inc.'s approximately $110 million purchase from Argon Holdco LLC as expected to close on November 10, subject to conditions. The joint Form 4 filed the following day supplies the missing statement of completion: footnote 1 reports a November 10 closing. Update the transaction's status from expected to reported closed and retain both records. [S3] [S1]

Three checks make this a useful update. The share quantity matches the contract calculation, the price matches the 8-K, and the remaining holding reconciles with the previously reported block. Two questions remain open in the reviewed records: independently documented cash received and an ownership percentage using issuer and holder counts for the same post-closing date. [S1] [S2] [S3] [S4] [S5]

PART 03

Match the security and direct holder before counting

The issuer is Corebridge Financial, Inc., CIK 0001889539, and the security is its common stock, ticker CRBG. Argon Holdco LLC, CIK 0002017585, is the selling holder. The new joint filing is Form 4 accession 0001193125-26-455577. This inquiry concerns that negotiated repurchase, rather than all of Corebridge's buybacks or its separately listed notes. [S1] [S2]

The transaction row is marked indirect ownership and points to footnotes. Footnote 2 identifies Argon as the direct holder and Blackstone Holdings II L.P. as its sole member. Related reporting persons appear because of the ownership chain; footnote 3 qualifies their beneficial-ownership reporting. Count the 44,234,423-share block once. Do not add it again for each reporting person or confuse a reporting parent's ticker with the issuer's. [S1]

PART 04

Keep agreement, transaction, closing and filing dates separate

Use one timeline entry per event type. The Form 4 table and footnote answer different date questions, so keep both in the record. [S1]

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DATE	ORIGINAL RECORD	MEANING FOR THE REVIEW
September 30; filed November 6	Schedule 13G/A reports 47,462,123 shares held directly by Argon.	Earlier holding used for the reconciliation, not a November 10 issuer share count. [S4]
November 6	The repurchase agreement is executed. The later Form 4 also lists November 6 in its transaction-date field.	Record the table date as reported; it is not the closing date given in the footnote. [S2] [S1]
November 9	Corebridge's 8-K gives a $34.09 price and an expected November 10 closing, subject to conditions.	Contemporaneous evidence of the agreement and expectation. [S3]
November 10	Form 4 is filed. Footnote 1 states that the agreement closed on November 10.	New holder-group evidence of completion, with a reported post-transaction holding. [S1]

PART 05

Reconcile the sale without overstating what it proves

The contract specifies $110,032,293 divided by the purchase price, rounded up to a whole share if needed. Using $34.09 gives exactly 3,227,700 shares. The Form 4 records that quantity at that price, with transaction code S and a disposition marker D. Multiplying 3,227,700 by $34.09 gives $110,032,293. This is a calculation from the reported trade terms, not a bank-statement observation. [S2] [S3] [S1]

Subtract the reported sale from the earlier holding: 47,462,123 minus 3,227,700 equals 44,234,423. That equals the Form 4's reported remaining balance. This agreement between the records supports the share reconciliation. It does not establish every intervening trade, a complete holder ledger or an independently audited position. [S4] [S1]

QUESTION AND OUTCOME	EVIDENCE SUPPORTING THE ANSWER	MATERIAL LIMIT
Did the repurchase close? Supported as reported.	Form 4 footnote 1 states that closing occurred November 10.	A reporting-person filing supports the attributed conclusion; SEC availability is not an independent audit. [S1]
Do the shares and price reconcile? Supported.	3,227,700 shares at $34.09; remaining shares reconcile to 44,234,423.	The calculation validates consistency of disclosed quantities. [S1] [S2] [S3] [S4]
Was $110,032,293 independently verified as received? Insufficient reviewed evidence.	The agreement requires a wire of immediately available funds at closing; the Form 4 reports the completed sale.	No bank credit or recipient-specific settlement receipt was reviewed. [S2] [S1]
What percentage did Argon own after closing? Insufficient matched-date evidence.	The Form 4 supplies a remaining holding. The 10-Q cover supplies 438,475,911 outstanding shares as of October 30.	The issuer count predates closing and does not establish the November 10 denominator. [S1] [S5]

Do not turn the agreement's 9.9% and 14.9% provisions into a reported final stake. They address participation in repurchases and monitoring of ownership limits. Nor should you simply subtract this

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sale from the October 30 outstanding count and call the result current: other repurchases or issuances could have changed that count before November 10. [S2] [S5] [S1]

PART 06

Decide which conclusion the new record can support

1. DOES THE LATER ORIGINAL RECORD EXPLICITLY SAY THE TRANSACTION CLOSED?

- If established: Attribute reported completion to that record and save the stated closing date and source anchor.
- If unresolved: Keep the expected closing date and outstanding conditions. Passing the calendar date alone is insufficient.

2. DO THE ISSUER, HOLDER, SHARE CLASS, QUANTITY AND PRICE MATCH THE AGREEMENT?

- If established: Reconcile the reported sale and remaining holding. Record joint reporting separately from direct ownership.
- If unresolved: Investigate amendments, different securities or a different transaction before combining the records.

3. DOES THE QUESTION CONCERN CASH ACTUALLY RECEIVED?

- If established: Separate calculated consideration from a recipient-specific credit or settlement receipt. Mark the receipt question open if that evidence is absent.
- If unresolved: Use the completion statement and disclosed terms for the transaction-status question.

4. ARE BOTH SHARE COUNTS FOR THE SAME POST-CLOSING DATE AVAILABLE?

- If established: Calculate the ownership percentage with both dated source anchors and check for other changes.
- If unresolved: Report the holder's remaining shares and leave the exact percentage unresolved.

PART 07

Reproduce the review in Dossier

- Create or open a public-source review for the Corebridge common-share class and Argon. Use the issuer CIK, holder CIK and Form 4 accession as identity checks.
- Attach the agreement, November 9 8-K, November 10 Form 4, earlier Schedule 13G/A and quarterly report. Preserve original files, retrieval dates and the table or footnote supporting each claim.
- Keep separate chronology fields for agreement date, Form 4 transaction date, expected close, reported actual close, filing date and this review's evidence date. Do not replace the November 6 table date with November 10.
- Record two reproducible calculations: shares times price for disclosed consideration, and earlier holding minus shares sold for the resulting block. Label both as calculations and link their input records.
- Update the status finding to reported closed. Keep cash receipt and exact ownership percentage as separate questions with their own evidence gaps. Export the summary and source register from those same findings. [S1] [S2] [S3] [S4] [S5]

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PART 08

What remains to be checked?

For the ownership question, look for a later issuer disclosure or share-register confirmation giving outstanding common shares as of November 10, together with confirmation that the holder balance describes that same date. For the cash question, seek a public settlement reconciliation identifying the recipient, amount and credit date. A later quarterly aggregate repurchase total may help reconcile the issuer's activity but would not by itself establish the seller's bank receipt. [S1] [S2] [S5]

At the November 11 evidence check, the issuer and holder SEC recent-filing indexes showed this Form 4 and no later amendment to it. The older 8-K's prospective wording remains part of the chronology; it does not contradict a subsequent completed closing. This bounded check does not establish that no other records exist. Reopen a finding if an amendment, correction or more specific settlement record changes its support. [S1] [S3]

PART 09

Questions readers ask

DID COREBRIDGE'S REPURCHASE FROM ARGON CLOSE ON NOVEMBER 10, 2026?

Yes, according to footnote 1 of the Form 4 filed that day. It reports the closing of the November 6 share repurchase agreement. The conclusion is attributed to the reporting group's filing. [S1]

WHY DOES THE FORM 4 SHOW NOVEMBER 6 WHEN THE CLOSING WAS NOVEMBER 10?

November 6 appears in the transaction-date field and is the agreement's execution date. Footnote 1 separately states the November 10 closing. Preserve both dates with their labels; the table alone is incomplete evidence of settlement timing. [S1] [S2]

HOW MANY COREBRIDGE SHARES DID ARGON REPORT AFTER THE SALE?

The filing reports 44,234,423 shares following the transaction. Footnote 2 identifies Argon as the direct holder. The same block should not be multiplied by the number of joint reporting persons. [S1]

DOES THE FORM 4 PROVE ARGON RECEIVED $110,032,293 IN ITS BANK ACCOUNT?

It reports a completed sale whose quantity and price multiply to that amount. The agreement calls for a wire at closing. Those records support disclosed consideration and reported completion, while independently verifying the bank credit requires recipient-specific payment evidence. [S1] [S2]

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PART 10

Linked source register

Titles with a recorded URL link to that source. Entries without a URL identify retained material but do not open an original file. For any court or business record being used in litigation, obtain a certified copy directly from the clerk or filing authority and preserve the retrieval date.

S1 **Argon and related reporting persons' November 10 Form 4**
SEC ownership transaction filing. Anchor: Accession 0001193125-26-455577; Table I and footnotes 1–4; filed November 10, 2026. Reports the disposition, resulting holding and actual closing date. The transaction-date field is November 6. · retrieved 2026-11-11

S2 **Corebridge and Argon share repurchase agreement**
Executed contract filed as Exhibit 10.1. Anchor: November 6, 2026, recitals and sections 1.1–1.3. Share formula, ownership provisions, required transfer and payment, and closing conditions. · retrieved 2026-11-11

S3 **Corebridge November 9 Form 8-K**
SEC current report. Anchor: Item 1.01, price and expected closing; Exhibit 10.1 reference. Contemporaneous prospective disclosure with the $34.09 price. · retrieved 2026-11-11

S4 **Argon and related reporting persons' amended Schedule 13G**
SEC ownership filing. Anchor: Filed November 6; September 30 event; Argon cover and Item 4(a). The earlier 47,462,123-share block used in the arithmetic reconciliation. · retrieved 2026-11-11

S5 **Corebridge third-quarter Form 10-Q**
SEC quarterly report. Anchor: Filed November 3, 2026; cover, common shares outstanding on October 30. The cover's 438,475,911 count predates the repurchase closing. · retrieved 2026-11-11

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